# FORM 4

## Washington, D.C. 20549

UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB APPROVAL OMB Number: Estimated average burden hours per 3235-0287 response.

obligations may continue. See Instruction 1(b).

#### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

Print or Type Responses)			` '			Ü						1 0					
1. Name and Address of Reporting Person = LIBMAN BRIAN L				2. Issuer Name and Ticker or Trading Symbol Finance of America Companies Inc. [FOA]							5. Relationship of Reporting Person(s) to Issuer (Check all applicable) X Director X 10% Owner						
(Last) (First) (Middle) C/O FINANCE OF AMERICA COMPANIES INC., 909 LAKE CAROLYN PARKWAY, SUITE 1550				3. Date of Earliest Transaction (Month/Day/Year) 09/29/2021							Officer (give	title below)		ther (specify below)			
(Street) IRVING, TX 75039											6. Individual or Joint/Group Filing/Check Applicable Line) _Form filed by One Reporting Person X_Form filed by More than One Reporting Person						
(City) (State) (Zip)				Table I - Non-Derivative Securities Acquire							ed, Disposed of, or Beneficially Owned						
			2. Transacti (Month/Day	y/Year) E:				4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)				Form:	7. Nature of Indirect Beneficial	
				(1)		Code	v	Amount	(A) or (D)	Price						Ownership (Instr. 4)	
Class A Common Stock			09/29/202	21			M <sup>(1)(2)</sup>		627,992	A	<u>(1)</u>	2,008,239				I	See Footnote
Class A Common Stock			09/29/202	21			D <sup>(2)</sup>		2,008,239	D	\$ 0 (2)	0			I	See Footnote	
Class A Common Stock			09/29/202	21			M <sup>(1)(2)</sup>		53,397	A	<u>(1)</u>	53,397			I	See Footnote	
Class A Common Stock			09/29/202	21			D <sup>(2)</sup>		53,397	D	\$ 0 (2)	0				I	See Footnote
Class A Common Stock												84,934				D	
Class A Common Stock												241,730				I	See Footnote (5)
Reminder: Report on a separate	line for each class o	f securities beneficially	owned directly or i	ndirectly.													
													contained in this for MB control number.	m are not re	quired to	SEC	1474 (9-02)
				Table			urities Acquired, I				ı						
(Instr. 3) Exercise Price of (Month/Day/Year) Execut Derivative any		3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transac (Instr. 8)	nstr. 8) Secur Dispo		mber of Derivative ities Acquired (A) or ssed of (D) . 3, 4, and 5)		6. Date Exercisable and 7. Title		e and Amount of Underlying Securities 3 and 4)		Derivative De Security Se (Instr. 5) Be	9. Number of Derivative Securities Beneficially		Beneficial Ownership		
				Code	V	7 (A)	) (D)		Date Exercisable	Expiration Date	Title		Amount or Number of Shares		Owned Following Reported Transaction(s) (Instr. 4)	Direct (D) or Indirect	(Instr. 4)
LLC Units of Finance of	40			Code		(A)	(D)		(1)	(1)	Class	A Common			(		See

627,992

53,397

(1)

(I)

(1)

(I)

Stock

Class A Commor

Stock

627,992

53,397

\$ 0

72,405,383

1,888,479

Footnote

Footnote

See

### **Reporting Owners**

America Equity Capital

LLC Units of Finance of

America Equity Capital

LLC

LLC

	Relationships						
Reporting Owner Name / Address	Director	10% Owner	Officer	Other			
LIBMAN BRIAN L C/O FINANCE OF AMERICA COMPANIES INC. 909 LAKE CAROLYN PARKWAY, SUITE 1550 IRVING, TX 75039	Х	Х					
Libman Family Holdings, LLC C/O FINANCE OF AMERICA COMPANIES INC. 999 LAKE CAROLYN PARKWAY, SUITE 1550 IRVING, TX 75039		X					

09/29/2021

09/29/2021

 $M^{(1)}$ 

 $M^{(1)}$ 

(I)

<u>(1)</u>

#### **Signatures**

/s/ Brian Libman	10/01/2021
**Signature of Reporting Person	Date
LIBMAN FAMILY HOLDINGS, LLC, By: /s/ Brian L. Libman, Name: Brian L. Libman, Title: Manager	10/01/2021
**Signature of Reporting Person	Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Pursuant to the terms of an exchange agreement, dated as of April 1, 2021, limited liability company units of Finance of America Equity Capital LLC ("FOA Units") held by the Reporting Person are exchangeable for shares of the Issuer's Class A Common stock ("Class A Common Stock
- (2) Pursuant to the LTIP Award Settlement Agreement (the "LTIP Award Settlement Agreement"), dated as of October 12, 2020, by and among the Issuer, the and certain equityholders of the Issuer and Finance of America Equity Capital LLC, such equityholders are obligated to deliver a nu connection with the Issuer's settlement of restricted stock units into shares of Class A Common Stock, the Reporting Person converted certain FOA Units and delivered certain shares of Class A Common Stock to the Issuer pursuant to the LTIP Award Settlement Agreement.
- (3) Reflects securities held directly by Libman Family Holdings, LLC. The sole manager of Libman Family Holdings, LLC is Brian L. Libman.
- (4) Reflects securities held directly by The Mortgage Opportunity Group, LLC. The sole manager of The Mortgage Opportunity Group, LLC is Brian L. Libman.
- (5) Reflects securities held by an entity for which Mr. Libman serves as a trustee

Pursuant to Rule 16a-1(a)

(4) under the Securities Exchange Act of 1934, as amended, the Reporting Person states that this filing shall not be an admission that the Reporting Person is the beneficial owner of any of the securities reported herein as indirectly owned

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.